

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 149.1-US-WO	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
				09/786286	
INTERNATIONAL APPLICATION NO. PCT/US99/20460		INTERNATIONAL FILING DATE 3 September 1999 (03.09.99)		PRIORITY DATE CLAIMED 3 September 1998 (03.09.98)	
TITLE OF INVENTION EXECUTING REMOTE PROCEDURES IN A REMOTE PROCESSOR FROM A CLIENT PROCESS EXECUTED IN A LOCAL PROCESSOR					
APPLICANT(S) FOR DO/EO/US Byron C. Darrah and Allen Kliner					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: (a) Verified Statement (Declaration) Claiming Small Entity Status.</p>					

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>097/786286</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US99/20460</b>		ATTORNEY'S DOCKET NUMBER <b>149.1-US-WO</b>	
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... <b>\$1000.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS PTO USE ONLY</b>	
				\$	100.00
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	34 - 20 =	14	x <b>\$18.00</b>	\$	252.00
Independent claims	9 - 3 =	6	x <b>\$80.00</b>	\$	480.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				\$	+ \$270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	832.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	416.00
<b>SUBTOTAL =</b>				\$	416.00
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	----
<b>TOTAL NATIONAL FEE =</b>				\$	416.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				\$	----
<b>TOTAL FEES ENCLOSED =</b>				\$	416.00
				Amount to be refunded:	\$
				charged:	\$

  

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 50-0494. A duplicate copy of this sheet is enclosed.

d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

  

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

  

SEND ALL CORRESPONDENCE TO:

Victor G. Cooper  
 Gates & Cooper LLP  
 6701 Center Drive West, Suite 1050  
 Los Angeles, CA 90045

\_\_\_\_\_  
 SIGNATURE  
 Victor G. Cooper  
 \_\_\_\_\_  
 NAME  
 39,641  
 \_\_\_\_\_  
 REGISTRATION NUMBER

09/786286

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC02 Rec'd PCT/PTO 02 MAR 2001

Applicant(s): Byron C. Darrah and Allen Klinger  
 Docket: 149.1-US-WO  
 Title: EXECUTING REMOTE PROCEDURES IN A REMOTE PROCESSOR FROM A CLIENT  
 PROCESS EXECUTED IN A LOCAL PROCESSOR

## CERTIFICATE OF MAILING UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL816010183US

Date of Deposit: March 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office  
 To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C.  
 20231.

By:

Name: Darlene Ross

BOX PCT

Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate Of Mailing Under 37 CFR 1.10.
- ☒ Form PTO-1390: TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371. The fee has been calculated as shown below in the "Claims as Filed" table.
- ☒ Verified statement to establish small entity status
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ PTO-2038 Credit Card Payment Form in the amount of \$416 to cover the Filing Fee.
- ☒ Return postcard

## CLAIMS AS FILED

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
Basic Filing Fee				\$50.00
Total Claims				
34	20	14	x \$9.00 =	\$126.00
Independent Claims				
9	3	6	x \$40.00 =	\$240.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				\$416.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0494. A duplicate of this sheet is enclosed.

**GATES & COOPER LLP**

Howard Hughes Center  
 6701 Center Drive West, Suite 1050  
 Los Angeles, CA 90045  
 (310) 641-8797

By:

Name: Victor G. Cooper  
 Reg. No.: 39,641  
 Initials: VGC/dr

(PTO TRANSMITTAL - NEW FILING)

Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant: Byron C. Darrah and Allen Klinger

Title: EXECUTING REMOTE PROCEDURES IN A REMOTE PROCESSOR FROM A CLIENT PROCESS  
EXECUTED IN A LOCAL PROCESSOR

Docket: 149.1-US-WO

Express Mail No.: EL816010183US

Date of Deposit: March 2, 2001

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VGC/dr

09/786286

Patent

JC11 Rec'd PCT/PTO 02 MAR 2001